

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

JOSE BARRIONUEVO, et al.,

Case No. C12-0572 EMC

Plaintiffs,

v.

CHASE BANK, et al.,

Defendants.

**FIRST AMENDED CASE MANAGEMENT
AND PRETRIAL ORDER FOR
JURY TRIAL**

(Pretrial conference reset from 8/13/13 to
8/6/13; pretrial instructions modified)

Pursuant to Federal Rule of Civil Procedure 16 and Civil Local Rule 16-10, THE FOLLOWING
DEADLINES ARE HEREBY ORDERED:

1. TRIAL DATE: 8/26/2013, at 8:30 a.m.
Courtroom 5, 17th Floor
Jury (x) or Court ()
2. TRIAL LENGTH: Estimated four (4) court days
(typical court day for trial is 8:30 a.m. to 2:00
p.m.; **Thursdays are dark**)
3. FINAL PRETRIAL CONFERENCE: **8/6/2013, at 2:30 p.m. (Reset from 8/13/13)**
**LEAD COUNSEL WHO WILL TRY THE
CASE MUST ATTEND.**
4. DISPOSITIVE MOTIONS: Last day to be *heard* 6/6/2013 at 1:30 p.m.
See Civil Local Rules for notice and filing
requirements.
5. NON-EXPERT DISCOVERY CUT-OFF: 3/15/2013
6. EXPERT REPORTS: Opening reports by 3/15/2013

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Rebuttal reports by 4/5/2013

7. EXPERT DISCOVERY CUT-OFF: 4/26/2013

8. DISCOVERY LIMITATIONS: Prior to completion of ADR, each party is
(F.R.C.P. applies unless limited to:
otherwise indicated)

Note: Parties may proceed with depositions and written discovery in conformance with the Federal Rules of Civil Procedure. Instead of filing formal discovery motions, parties shall refer to this Court's standing order to meet and confer and submit joint letter on unresolved issues to the Court for expedited resolution.

Interrogatories ____

Depositions ____

Document Requests ____

Requests for Admission ____

After ADR, each party is limited to:

Interrogatories ____

Depositions ____

Document Requests ____

Requests for Admission ____

9. ADR: To be completed _____

Court-sponsored mediation x
(held, not settled)

Court-sponsored ENE ____

Mag. Judge Settlement Conf. ____

Private mediation ____

Private arbitration ____

Other : Parties shall contact this Court if other means of ADR is necessary.

10. LAST DAY TO AMEND PLEADING: N/A

11. FURTHER STATUS CONFERENCE: N/A

PRETRIAL INSTRUCTIONS

A. MEET AND CONFER

At least forty-two (42) days prior to the final pretrial conference, lead counsel who will try the case shall meet and confer regarding the following:

- Preparation and content of the joint pretrial conference statement, *see* Part B, *infra*;
- Preparation and exchange of pretrial materials, *see* Part C, *infra*; and
- Settlement of the action.

B. JOINT PRETRIAL CONFERENCE STATEMENT

At least twenty-one (21) days prior to the final pretrial conference, the parties shall file a joint pretrial conference statement. The statement shall contain the following information:

1. The Action.

- a. Substance of the Action. A brief description of the substance of claims and defenses which need to be decided.
- b. Relief Prayed. A statement of all relief sought, particularly itemizing all elements of damages claimed.

2. Factual Basis of the Action.

- a. Undisputed Facts. A list of all stipulated facts, *i.e.*, all facts parties to which the parties will stipulate to for incorporation into the trial record without the necessity of supporting testimony or exhibits.
- b. Disputed Factual Issues. A list of all factual issues that remain to be tried, stating the issues with the same generality/specificity as any contested elements in the relevant jury instructions and organized by counts.

- 1 **3. Disputed Legal Issues.** *Without extended legal argument*, a concise statement of
2 each disputed point of law concerning liability or relief, citing supporting statutes and
3 decisions.
- 4 **4. Estimate of Trial Time.** An estimate of the number of hours needed for the
5 presentation of each party's case.
- 6 **5. Trial Alternatives and Options.**
- 7 a. Settlement Discussion. A statement summarizing the status of settlement
8 negotiations and indicating whether further negotiations are likely to be
9 productive.
- 10 b. Consent to Trial Before a Magistrate Judge. A statement whether reference of
11 all or part of the action to a master or magistrate judge is feasible, including
12 whether the parties consent to a court or jury trial before a magistrate judge,
13 with appeal directly to the Ninth Circuit.
- 14 c. Amendments or Dismissals. A statement of requested or proposed
15 amendments to pleadings or dismissals of parties, claims, or defenses.
- 16 d. Bifurcation or Separate Trial of Issues. A statement of whether bifurcation or
17 a separate trial of specific issues is feasible and desired.
- 18 **6. Witnesses.** *The following information should be provided as an appendix to the joint*
19 *pretrial conference statement.* For each party, a list of all witnesses likely to be
20 called at trial, including those appearing by deposition. For each witness, there
21 should be a short statement of the substance of his or her testimony and an estimate
22 regarding the length of testimony (including direct and cross-examination). If the
23 witness is an expert witness, the short statement should clearly state the expert's
24 theories and conclusions and the bases therefor; in addition, the expert's curriculum
25 vita should be provided.
- 26 **7. Other Matters.** A statement of any other matters that the parties wish to
27 bring before the court for its consideration.
- 28 **8. Comments.** A statement of any comments that the parties wish to make.

vitae and report (if any) should be attached. If there are objections to a live witness's testimony, whether in whole or in part, that objection should be raised through a motion in limine. For objections to deposition testimony, *see* Part B.8, *infra*.

7. **Exhibits.** *The following information should be provided as an appendix to the joint pretrial conference statement. A joint exhibit list in tabular form, with (a) a column that briefly describes the exhibit; (b) a column that describes for what purpose the party will offer the exhibit and identifies its sponsoring witness; (c) a column that states any objections to the exhibit; (d) a column that briefly responds to the objections; and (e) a blank column for the Court's use. Before this list is filed with the Court, the parties shall meet and confer, in person, to consider exhibit numbers, to eliminate duplicate exhibits and confusion over exhibits, and to make a good faith effort to stipulate to admissibility. If stipulation is not possible, the parties shall make every effort to stipulate to authenticity and foundation absent a legitimate (not tactical) objection. In addition to the above, a joint statement in which each party identifies fifteen (15) of the opposing party's exhibits for which the identifying party seeks rulings on objections in advance of trial. A party may identify, e.g., an exhibit that it believes is critical to the case (if admitted or if not admitted) or an exhibit that it believes is representative of other exhibits such that the identified exhibit will provide a bellwether as to how the Court will rule on other exhibits.*

8. **Use of Discovery Responses.** *The following information should be provided as an appendix to the joint pretrial conference statement. Excerpts of interrogatory responses, responses to requests for admission, and deposition testimony (with specific line references identified) that each party intends to present at trial. If there are objections to the use of written responses, the parties should include a joint*

memorandum that briefly states the objecting party's objection and the opposing party's response. If there is an objection to the general subject matter of a deponent's testimony, the objection should be made through a motion in limine. If specific objections were made during the deposition that are still in need of a Court ruling, the parties should include a joint memorandum that identifies the deposition testimony at issue and that briefly states the objecting party's objection (including any counter-designation) and the opposing party's response (including any counter-designation). The Court expects the parties to meet and confer in good faith in the attempt to resolve those specific objections regarding deposition testimony before any memorandum regarding objections are filed.

C. PRETRIAL MATERIALS

At least twenty-one (21) days prior to the final pretrial conference, the parties shall file the following pretrial materials.

1. Motions in Limine. The following procedure should be used with respect to motions in limine. At least thirty-two (32) days before the pretrial conference, serve – but do not file – the moving papers. At least twenty-five (25) days before the conference, serve – but do not file – the oppositions. When the oppositions are received, the moving party should collate the motion and opposition together, back to back, and then file the paired sets (each under separate cover) at least twenty-one (21) days prior to the conference.

Each motion in limine should address a single topic and contain no more than seven pages of briefing per side. Reply briefs are not permitted. Usually, each party or side should not need to file more than five motions in limine. Each party shall number its motions in limine in order of importance, the first being the most important.

2. Preliminary Statement to the Jury. In a jury trial, the parties shall provide a

1 simplified statement of the case to be read to the jury during voir dire and as a part of the proposed
2 jury instructions. Unless the case is extremely complex, this statement should not exceed one
3 paragraph.
4

5 **3. Jury Instructions.** In a jury trial, a *joint* set of proposed jury instructions on
6 substantive issues of law, arranged in a logical sequence.

7 If undisputed, an instruction shall be identified as “Stipulated Instruction No. ____ re
8 _____,” with the blanks filled in as appropriate. Even if stipulated, the instruction
9 shall be supported by citation.
10

11 If disputed, each version of the instruction shall be inserted together, back to back, in their
12 logical place in the overall sequence. A disputed instruction shall be identified as “Disputed
13 Instruction No. ____ re _____ offered by _____,” with the blanks filled in
14 as appropriate. All disputed versions of the same basic instruction shall bear the same number. If a
15 party does not have a counter-version and simply contends that no such instruction in any version
16 should be given, then that party should so state on a separate page inserted in lieu of an alternate
17 version. Each party should support its version of a disputed instruction, and/or oppose the version
18 offered by the opposing party, with a brief argument and citation to any relevant authority. The
19 argument and citation should be provided immediately following the disputed instructions. The
20 parties are encouraged to keep disputed instructions to a minimum.
21

22 Finally, absent objection, the Court shall give the following jury instructions from the Ninth
23 Circuit Manual of Model Civil Jury Instructions (2007 ed.): 1.1-1.2, 1.6-1.14, 1.18-1.19, 3.1-3.3.
24

25 ///

1 **4. Voir Dire.** In a jury trial, the Court will conduct a voir dire based on the attached (or
2 a similar) questions/subjects. Counsel may also submit for the Court's consideration an *agreed upon*
3 set of additional voir dire questions to be posed by the Court. Any voir dire questions on which
4 counsel cannot agree may be submitted separately. Counsel will be allowed a brief (15 minutes)
5 follow-up voir dire after the Court's questioning.
6


7 **5. Verdict Form.** In a jury trial, the parties shall submit a *joint* proposed verdict form.
8 If the parties are unable to stipulate to a verdict form, then each party or side shall submit a proposed
9 verdict form.
10

11 **6. Proposed Findings of Fact and Conclusions of Law.** In a bench trial, each party or
12 side shall submit proposed findings of fact and conclusions of law.

13 **7. Exhibits.** The parties shall submit *two* sets of all exhibits. Exhibits are not to be
14 filed but rather shall be submitted to chambers. *Exhibits must be premarked. In addition, one set of*
15 *exhibits must be tagged.* Exhibits shall be three-hole punched and shall be submitted in binders.
16 Sample tags may be obtained from the Courtroom Deputy and are attached as Exhibit A hereto.
17

18 **8. Trial Brief.** Each party shall submit a trial brief not to exceed 15 pages absent court
19 order. A trial brief is most helpful to the Court when it: (1) summarizes the party's theory of the
20 case, (2) identifies key evidence, and (3) provides summary briefing on any controlling issues of
21 law.
22

23 Dated: April 5, 2013

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26 _____
27 EDWARD M. CHEN
28 United States District Judge

JUROR QUESTIONNAIRE

1. Name.
2. City of residence.
3. Occupational status.
4. Educational background.
5. Organizations.
6. Hobbies.
7. Marital status.
8. Spouse's occupation.
9. Children (including ages).
10. If a juror on another case.
11. If ever a grand juror.
12. If ever in the military.

EXHIBIT A

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

Case Number:

PLTF / DEFT EXHIBIT NO. _____

Date Admitted: _____

By: _____

Betty Lee, Deputy Clerk

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NORTHERN DISTRICT OF CALIFORNIA

Case Number:

PLTF / DEFT EXHIBIT NO. _____

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